

B6 Summary (Official Form 6 - Summary) (12/07)

**United States Bankruptcy Court
Southern District of New York**

In re WEST END FINANCIAL ADVISORS, LLC,
Debtor

Case No. _____
Chapter 11

SUMMARY OF SCHEDULES

Indicate as to each schedule whether that schedule is attached and state the number of pages in each. Report the totals from Schedules A, B, D, E, F, I, and J in the boxes provided. Add the amounts from Schedules A and B to determine the total amount of the debtor's assets. Add the amounts of all claims from Schedules D, E, and F to determine the total amount of the debtor's liabilities. Individual debtors also must complete the "Statistical Summary of Certain Liabilities and Related Data" if they file a case under chapter 7, 11, or 13.

NAME OF SCHEDULE	ATTACHED (YES/NO)	NO. OF SHEETS	ASSETS	LIABILITIES	OTHER
A - Real Property	YES	1	\$ 0.00		
B - Personal Property	YES	3	\$ 400,435.65		
C - Property Claimed as Exempt	NO				
D - Creditors Holding Secured Claims	YES	1		\$ 5,500,000.00	
E - Creditors Holding Unsecured Priority Claims (Total of Claims on Schedule E)	YES	2		\$ 0.00	
F - Creditors Holding Unsecured Nonpriority Claims	YES	10		\$ 1,213,630.34	
G - Executory Contracts and Unexpired Leases	YES	1			
H - Codebtors	YES	1			
I - Current Income of Individual Debtor(s)	NO	0			\$
J - Current Expenditures of Individual Debtor(s)	NO	0			\$
TOTAL		19	\$ 400,435.65	\$ 6,713,630.34	

B6A (Official Form 6A) (12/07)

In re: WEST END FINANCIAL ADVISORS, LLC,
Debtor

Case No. _____
(If known)

SCHEDULE A - REAL PROPERTY

DESCRIPTION AND LOCATION OF PROPERTY	NATURE OF DEBTOR'S INTEREST IN PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION	AMOUNT OF SECURED CLAIM
NONE				
Total			0.00	

(Report also on Summary of Schedules.)

In re **WEST END FINANCIAL ADVISORS, LLC**

Case No. **11-11152**

Debtor

(If known)

SCHEDULE B - PERSONAL PROPERTY

TYPE OF PROPERTY	NONE	DESCRIPTION AND LOCATION OF PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION
1. Cash on hand	X			
2. Checking, savings or other financial accounts, certificates of deposit, or shares in banks, savings and loan, thrift, building and loan, and homestead associations, or credit unions, brokerage houses, or cooperatives.		CHASE BANK 277 PARK AVENUE NEW YORK, NY 10022 797182565		292,705.72
Checking, savings or other financial accounts, certificates of deposit, or shares in banks, savings and loan, thrift, building and loan, and homestead associations, or credit unions, brokerage houses, or cooperatives.		HSBC BANK WHITE PLAINS, NEW YORK		0.00
Checking, savings or other financial accounts, certificates of deposit, or shares in banks, savings and loan, thrift, building and loan, and homestead associations, or credit unions, brokerage houses, or cooperatives.		ROBINSON BROG ESCROW ACCOUNT BNY MELLON		88,924.96
Checking, savings or other financial accounts, certificates of deposit, or shares in banks, savings and loan, thrift, building and loan, and homestead associations, or credit unions, brokerage houses, or cooperatives.		SIGNATURE BANK 300 PARK AVENUE NEW YORK, NY 10022 REF 1500216731		0.00
Checking, savings or other financial accounts, certificates of deposit, or shares in banks, savings and loan, thrift, building and loan, and homestead associations, or credit unions, brokerage houses, or cooperatives.		SIGNATURE BANK 300 PARK AVENUE NEW YORK, NY 10022 REF 15005418482		0.00
3. Security deposits with public utilities, telephone companies, landlords, and others.	X			
4. Household goods and furnishings, including audio, video, and computer equipment.	X			
5. Books, pictures and other art objects, antiques, stamp, coin, record, tape, compact disc, and other collections or collectibles.	X			
6. Wearing apparel.	X			
7. Furs and jewelry.	X			
8. Firearms and sports, photographic, and other hobby equipment.	X			
9. Interests in insurance policies. Name insurance company of each policy and itemize surrender or refund value of each.	X			
10. Annuities. Itemize and name each issuer.	X			

In re **WEST END FINANCIAL ADVISORS, LLC**

Case No. **11-11152**

Debtor

(If known)

SCHEDULE B - PERSONAL PROPERTY

(Continuation Sheet)

TYPE OF PROPERTY	NONE	DESCRIPTION AND LOCATION OF PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION
11. Interests in an education IRA as defined in 26 U.S.C. § 530(b)(1) or under a qualified State tuition plan as defined in 26 U.S.C. § 529(b)(1). Give particulars. (File separately the record(s) of any such interest(s). 11 U.S.C. § 521(c).)	X			
12. Interests in IRA, ERISA, Keogh, or other pension or profit sharing plans. Give particulars.	X			
13. Stock and interests in incorporated and unincorporated businesses. Itemize.	X			
14. Interests in partnerships or joint ventures. Itemize.	X			
15. Government and corporate bonds and other negotiable and nonnegotiable instruments.	X			
16. Accounts receivable.		EMPLOYEE RECEIVABLE KEVIN KRAMER 12 MOZART DRIVE WEST WILMINGTON, DE		107,729.93
Accounts receivable.		LOAN RECEIVABLE-GENEVA 100 NORTH CENTRE AVE SUITE 300 ROCKVILLE CENTRE, NY 11570		UNKNOWN
17. Alimony, maintenance, support, and property settlements to which the debtor is or may be entitled. Give particulars.	X			
18. Other liquidated debts owed to debtor including tax refunds. Give particulars.	X			
19. Equitable or future interests, life estates, and rights or powers exercisable for the benefit of the debtor other than those listed in Schedule A - Real Property.	X			
20. Contingent and noncontingent interests in estate of a decedent, death benefit plan, life insurance policy, or trust.	X			
21. Other contingent and unliquidated claims of every nature, including tax refunds, counterclaims of the debtor, and rights to setoff claims. Give estimated value of each.	X			
22. Patents, copyrights, and other intellectual property. Give particulars.	X			
23. Licenses, franchises, and other general intangibles. Give particulars.	X			

In re **WEST END FINANCIAL ADVISORS, LLC**

Case No. **11-11152**

Debtor

(If known)

SCHEDULE B - PERSONAL PROPERTY

(Continuation Sheet)

TYPE OF PROPERTY	NONE	DESCRIPTION AND LOCATION OF PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION
24. Customer lists or other compilations containing personally identifiable information (as defined in 11 U.S.C. § 101(41A)) provided to the debtor by individuals in connection with obtaining a product or service from the debtor primarily for personal, family, or household purposes.	<input checked="" type="checkbox"/>			
25. Automobiles, trucks, trailers, and other vehicles and accessories.	<input checked="" type="checkbox"/>			
26. Boats, motors, and accessories.	<input checked="" type="checkbox"/>			
27. Aircraft and accessories.	<input checked="" type="checkbox"/>			
28. Office equipment, furnishings, and supplies.	<input checked="" type="checkbox"/>			
29. Machinery, fixtures, equipment and supplies used in business.	<input checked="" type="checkbox"/>			
30. Inventory.	<input checked="" type="checkbox"/>			
31. Animals.	<input checked="" type="checkbox"/>			
32. Crops - growing or harvested. Give particulars.	<input checked="" type="checkbox"/>			
33. Farming equipment and implements.	<input checked="" type="checkbox"/>			
34. Farm supplies, chemicals, and feed.	<input checked="" type="checkbox"/>			
35. Other personal property of any kind not already listed. Itemize.		VARIOUS ARTWORKS "ABRAHAM LINCOLN" "THOMAS JEFFERSON" "ALBERT EINSTEIN" VALUE IS UNKNOWN AND SUBJECT TO APPRAISAL		UNKNOWN
<u>2</u> continuation sheets attached Total >				\$ 489,360.61

(Include amounts from any continuation sheets attached. Report total also on Summary of Schedules.)

In re WEST END FINANCIAL ADVISORS, LLC

Case No. _____

Debtor

(If known)

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS☐ Check this box if debtor has no creditors holding secured claims to report on this Schedule D.

CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE AND AN ACCOUNT NUMBER (See Instructions, Above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND VALUE OF PROPERTY SUBJECT TO LIEN	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL	UNSECURED PORTION, IF ANY
ACCOUNT NO.					X	5,500,000.00	5,099,564.35
NORTHLIGHT FINANCIAL, LLC 24 WEST 40TH STREET 12TH FLOOR NEW YORK, NY 10018		Deed of Trust EMPLOYEE RECEIVABLE KEVIN KRAMER 12 MOZART DRIVE WEST WILMINGTON, DE HSBC BANK WHITE PLAINS, NEW YORK SIGNATURE BANK 300 PARK AVENUE NEW YORK, NY 10022 REF 1500216731 SIGNATURE BANK 300 PARK AVENUE NEW YORK, NY 10022 REF 15005418482 VARIOUS ARTWORKS "ABRAHAM LINCOLN" "THOMAS JEFFERSON" "ALBERT EINSTEIN" VALUE IS UNKNOWN AND SUBJECT TO APPRAISAL CHASE BANK 277 PARK AVENUE NEW YORK, NY 10022 797182565 VALUE \$400,435.65					

0

continuation sheets
attachedSubtotal >
(Total of this page)Total >
(Use only on last page)

\$ 5,500,000.00	\$ 5,099,564.35
\$ 5,500,000.00	\$ 5,099,564.35

(Report also on Summary of
Schedules) (If applicable, report
also on Statistical
Summary of Certain
Liabilities and
Related Data.)

In re WEST END FINANCIAL ADVISORS, LLC

Debtor

Case No. _____

(If known)

SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

☐ Check this box if debtor has no creditors holding unsecured priority claims to report on this Schedule E.

TYPES OF PRIORITY CLAIMS (Check the appropriate box(es) below if claims in that category are listed on the attached sheets.)

☐ **Domestic Support Obligations**

Claims for domestic support that are owed to or recoverable by a spouse, former spouse, or child of the debtor, or the parent, legal guardian, or responsible relative of such a child, or a governmental unit to whom such a domestic support claim has been assigned to the extent provided in 11 U.S.C. § 507(a)(1).

☐ **Extensions of credit in an involuntary case**

Claims arising in the ordinary course of the debtor's business or financial affairs after the commencement of the case but before the earlier of the appointment of a trustee or the order for relief. 11 U.S.C. § 507(a)(3).

☐ **Wages, salaries, and commissions**

Wages, salaries, and commissions, including vacation, severance, and sick leave pay owing to employees and commissions owing to qualifying independent sales representatives up to \$11,725* per person earned within 180 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(4).

☐ **Contributions to employee benefit plans**

Money owed to employee benefit plans for services rendered within 180 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(5).

☐ **Certain farmers and fishermen**

Claims of certain farmers and fishermen, up to \$5,775* per farmer or fisherman, against the debtor, as provided in 11 U.S.C. § 507(a)(6).

☐ **Deposits by individuals**

Claims of individuals up to \$2,600* for deposits for the purchase, lease, or rental of property or services for personal, family, or household use, that were not delivered or provided. 11 U.S.C. § 507(a)(7).

☒ **Taxes and Certain Other Debts Owed to Governmental Units**

Taxes, customs duties, and penalties owing to federal, state, and local governmental units as set forth in 11 U.S.C. § 507(a)(8).

☐ **Commitments to Maintain the Capital of an Insured Depository Institution**

Claims based on commitments to the FDIC, RTC, Director of the Office of Thrift Supervision, Comptroller of the Currency, or Board of Governors of the Federal Reserve System, or their predecessors or successors, to maintain the capital of an insured depository institution. 11 U.S.C. § 507(a)(9).

☐ **Claims for Death or Personal Injury While Debtor Was Intoxicated**

Claims for death or personal injury resulting from the operation of a motor vehicle or vessel while the debtor was intoxicated from using alcohol, a drug, or another substance. 11 U.S.C. § 507(a)(10).

* Amounts are subject to adjustment on 4/01/13, and every three years thereafter with respect to cases commenced on or after the date of adjustment.

1 continuation sheets attached

In re **WEST END FINANCIAL ADVISORS, LLC**

Debtor

Case No.

(If known)

SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

(Continuation Sheet)

Type of Priority: Taxes and Certain Other Debts Owed to Governmental Units

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR	HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM	AMOUNT ENTITLED TO PRIORITY	AMOUNT NOT ENTITLED TO PRIORITY, IF ANY
ACCOUNT NO. INTERNAL REVENUE SERVICE P.O. BOX 7346 PHILADELPHIA, PA 19101			FOR NOTICE PURPOSES ONLY	X	X	X	0.00	0.00	\$0.00
ACCOUNT NO. NEW YORK STATE DEPARTMENT OF FINANC BANKRUPTCY/SPECIAL PROCEDURES SECT P.O. BOX 5300 ALBANY, NY 12205-0300			FOR NOTICE PURPOSES ONLY	X	X	X	0.00	0.00	\$0.00
ACCOUNT NO. NYC DEPARTMENT OF FINANCE ATTN: LEGAL AFFAIRS - DEVORA COHN 345 ADAMS STREET, 3RD FL BROOKLYN, NY 11201			FOR NOTICE PURPOSES ONLY	X	X	X	0.00	0.00	\$0.00
ACCOUNT NO. NYS UNEMPLOYMENT INSURANCE FUND P.O. BOX 551 ALBANY, NY 12201			FOR NOTICE PURPOSES ONLY	X	X	X	0.00	0.00	\$0.00
ACCOUNT NO. SECURITIES AND EXCHANGE COMMISSION ATTN: GEORGE CANELLOS 3 WORLD FINANCIAL CENTER SUITE 400 NEW YORK, NY 10281			FOR NOTICE PURPOSES ONLY	X	X	X	0.00	0.00	\$0.00

Sheet no. 1 of 1 continuation sheets attached to Schedule of
 Creditors Holding Priority Claims

Subtotals >
 (Totals of this page)

Total >

(Use only on last page of the completed
 Schedule E. Report also on the Summary of
 Schedules.)

Total >

(Use only on last page of the completed
 Schedule E. If applicable, report also on the
 Statistical Summary of Certain Liabilities and
 Related Data.)

\$	0.00	\$	0.00	\$	0.00
\$	0.00				
		\$	0.00	\$	0.00

In re WEST END FINANCIAL ADVISORS, LLC
 Debtor

Case No. _____
 (If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

☐ Check this box if debtor has no creditors holding unsecured claims to report on this Schedule F.

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.					X	5,000.00
ABRAMS, FENSTERMAN, FENSTERMAN, ETAL 1111 MARCUS AVENUE SUITE 107 LAKE SUCCESS, NY 11042		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	380.19
ACTIVE IRRIGATION PO BOX 34 WEST SAYVILLE, NY 11796		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	250.00
ALLIANCE DISTRIBUTORS & SERVICES LLC 51 SYCAMORE DR. EAST STROUDSBERG, PA 18301		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.			X		X	82,666.68
AMERICAN EXPRESS PO BOX 360002 FT LAUDERDALE, FL 33336		ALL CHARGES ON THIS CREDIT CARD WERE UNAUTHORIZED PERSONAL CHARGES OF WILLIAM LANDBERG GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	54.19
AMERICAN STOCK EXCHANGE LLC PO BOX 757510 PHILADELPHIA, PA 19175		GENERAL UNSECURED CREDITOR				

9 Continuation sheets attached

Subtotal > \$ 88,351.06

Total > \$

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re **WEST END FINANCIAL ADVISORS, LLC**

Case No. _____

Debtor

(If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBATOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.						
BECKER GLYNN MELAMED 299 PARK AVENUE NEW YORK, NY 1017		GENERAL UNSECURED CREDITOR			X	187,087.62
ACCOUNT NO.						
BECKER, GLYNN, MELAMED & MUFFLY LLP 299 PARK AVENUE NEW YORK, NY 10171		GENERAL UNSECURED CREDITOR			X	4,293.00
ACCOUNT NO.						
BROADVIEW NETWORKS PO BOX 9259 UNIONDALE, NY 11555		GENERAL UNSECURED CREDITOR			X	200.00
ACCOUNT NO.						
CANON FINANCIAL SERVICES 14904 COLLECTIONS CENTER DRIVE CHICAGO, IL 60693		GENERAL UNSECURED CREDITOR			X	21,600.25
ACCOUNT NO.						
CITY MARKET 551 MADISON AVENUE NEW YORK, NY 10022		GENERAL UNSECURED CREDITOR			X	195.19

Sheet no. 1 of 9 continuation sheets attached to Schedule of Creditors
 Holding Unsecured
 Nonpriority Claims

Subtotal > \$ **213,376.06**

Total > \$

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re WEST END FINANCIAL ADVISORS, LLC
 Debtor

Case No. _____
 (If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.						
CLAYMAN & ROSENBERG 305 MADISON AVENUE NEW YORK, NY 10165		GENERAL UNSECURED CREDITOR			X	18,387.44
ACCOUNT NO.						
DANIEL BRECHER 99 PARK AVENUE, 16TH FLR NEW YORK, NY 10016		GENERAL UNSECURED CREDITOR			X	1,826.32
ACCOUNT NO.						
DAYLIGHT FORENSIC & ADVISORY LLC 1 ROCKEFELLER PLAZA NEW YORK, NY 10020		GENERAL UNSECURED CREDITOR			X	272,177.34
ACCOUNT NO.						
DE LAGE LANDEN PO BOX 41602 PHILADELPHIA, PA 19101-1602		GENERAL UNSECURED CREDITOR			X	2,227.50
ACCOUNT NO.						
FEDERAL EXPRESS PO BOX 371461 PITTSBURGH, PA 15250-7461		GENERAL UNSECURED CREDITOR			X	15.35

Sheet no. 2 of 9 continuation sheets attached to Schedule of Creditors
 Holding Unsecured
 Nonpriority Claims

Subtotal	>	\$	294,633.95
Total	>	\$	

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re WEST END FINANCIAL ADVISORS, LLC Case No. _____
 Debtor (If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBATOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.					X	13,101.50
FERBER, CHAN, ESSNER & COLLIER, LLP 530 FIFTH AVENUE NEW YORK, NY 10036-5101		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	1,865.00
FORD & HARRISON LLP PO BOX 101423 ATLANTA, GA 30392		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	587.66
GUARDIAN SERVICE INDUSTRIES, INC. 8005 EXPEDITE WAY CHICAGO, IL 60695		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	6,500.00
HOFFMAN & POLLOK LLP 260 MADISON AVE. NEW YORK, NY 10016		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	12,469.44
IMPERIAL CREDIT CORPORATION 1001 WINSTEAD DRIVE SUITE 500 CARY, NC 27513		GENERAL UNSECURED CREDITOR				

Sheet no. 3 of 9 continuation sheets attached to Schedule of Creditors
 Holding Unsecured
 Nonpriority Claims

Subtotal	>	\$	34,523.60
Total	>	\$	

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re **WEST END FINANCIAL ADVISORS, LLC**

Case No. _____

Debtor

(If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.						
INTERACTIVE DATA REAL-TIME SERVICES PO BOX 98616 CHICAGO, IL 60693		GENERAL UNSECURED CREDITOR			X	9,632.46
ACCOUNT NO.						
INTERIOR FOLIAGE DESIGN, INC. 32-14 36TH AVENUE LONG ISLAND CITY, NY 11106		GENERAL UNSECURED CREDITOR			X	514.80
ACCOUNT NO.						
JG GRAPHICS 5 NEWCASTLE COURT RIVERHEAD, NY 11901		GENERAL UNSECURED CREDITOR			X	82.37
ACCOUNT NO.						
JONATHAN DAVIS 99 PARK AVENUE, 16TH FLOOR NEW YORK, NY 10016		GENERAL UNSECURED CREDITOR			X	25,219.16
ACCOUNT NO.						
KENNETH A. JOHNSON PO BOX 1771 BRIDGEHAMPTON, NY 11932		GENERAL UNSECURED CREDITOR			X	277.96

Sheet no. 4 of 9 continuation sheets attached to Schedule of Creditors
 Holding Unsecured
 Nonpriority Claims

Subtotal >	\$	35,226.75
Total >	\$	

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re **WEST END FINANCIAL ADVISORS, LLC**

Debtor

Case No. _____

(If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.					X	202,500.00
KEVIN KRAMER 12 MOZART DRIVE WILMINGTON, DE 19807		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	127.90
LITE BITES 111 EAST 55TH STREET NEW YORK, NY 10022		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	625.00
LIVE OFFICE 2780 SKYPARK DRIVE SUITE 300 TORRANCE, CA 90505		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	1,920.00
LYNN ATZLAN, CPA 69-10 108TH STREET, APT. 8-C FOREST HILLS, NY 11375		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	4,000.00
PARK 55 ASSETS LLC THE PARKOFF ORGANIZATION 98 CUTTERMILL ROAD GREAT NECK, NY 11201		GENERAL UNSECURED CREDITOR				

Sheet no. 5 of 9 continuation sheets attached to Schedule of Creditors
 Holding Unsecured
 Nonpriority Claims

Subtotal > \$ 209,172.90

Total > \$

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re WEST END FINANCIAL ADVISORS, LLC
 Debtor

Case No. _____
 (If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.					X	99,210.01
PERELLA WEINBERG PARTNERS 767 FIFTH AVENUE NEW YORK, NY 10153		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	2,504.74
PITNEY BOWES GLOBAL FINANCIAL SERVICE PO BOX 856460 LOUISVILLE, KY 40285		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	644.38
PITNEY BOWES PURCHASE POWER PO BOX 856042 LOUISVILLE, KY 40285		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	348.37
POLAND SPRING PO BOX 856192 LOUISVILLE, KY 40285		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	1,760.00
SAUL EWING CENTRE SQUARE WEST 1500 MARKET STREET PHILADELPHIA, PA 19102		GENERAL UNSECURED CREDITOR				

Sheet no. 6 of 9 continuation sheets attached to Schedule of Creditors
 Holding Unsecured
 Nonpriority Claims

Subtotal	>	\$	104,467.50
Total	>	\$	

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re WEST END FINANCIAL ADVISORS, LLC Case No. _____
 Debtor (If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.					X	1,448.63
SEAMLESS WEB PROFESSIONAL SOLUTIONS P.O. BOX 48098 NEW ARK, NJ 07101		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	141,332.98
SEYFARTH SHAW LLP 131 S. DEARBORN STREET SUITE 2400 CHICAGO, IL 60603		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	909.56
SHARED TECHNOLOGIES PO BOX 4869 DEPARTMENT #145 HOUSTON, TX 77210		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	152.43
SHRED IT 18-20TH STREET BROOKLYN, NY 11232		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	7,274.91
SMALLBERG, SORKIN & CO. LLP 450 SEVENTH AVENUE SUITE 2710 NEW YORK, NY 10123		GENERAL UNSECURED CREDITOR				

Sheet no. 7 of 9 continuation sheets attached to Schedule of Creditors
 Holding Unsecured
 Nonpriority Claims

Subtotal	>	\$	151,118.51
Total	>	\$	

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re WEST END FINANCIAL ADVISORS, LLC Case No. _____
 Debtor (If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBATOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.					X	16,919.30
SONNENSCHIN, NATH & ROSENTHAL, LLP 1221 AVENUE OF THE AMERICAS NEW YORK, NY 10020		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	2,600.74
STAPLES BUSINESS ADVANTAGE PO BOX 415256 BOSTON, MA 02241		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	10,354.32
TANNENBAUM HELPERN 900 THIRD AVENUE NEW YORK, NY 10022		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	1,245.76
THE PREMINS COMPANY 1407 AVENUE M BROOKLYN, NY 11230		GENERAL UNSECURED CREDITOR				
ACCOUNT NO.					X	21,000.00
THOMAS MOORE 260 MADISON AVENUE NEW YORK, NY 10016		GENERAL UNSECURED CREDITOR				

Sheet no. 8 of 9 continuation sheets attached to Schedule of Creditors
 Holding Unsecured
 Nonpriority Claims

Subtotal	>	\$ 52,120.12
Total	>	\$

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re **WEST END FINANCIAL ADVISORS, LLC**

Case No. _____

Debtor

(If known)

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.						
THOMSON FINANCIAL PO BOX 5136 CAROL STREAM, IL 60197-5136		GENERAL UNSECURED CREDITOR			X	23,250.00
ACCOUNT NO.						
TIME WARNER CABLE 5120 BROADWAY, 2ND FLOOR ATTN: SUZANNE GRESICK NEW YORK, NY 10034		GENERAL UNSECURED CREDITOR			X	4,804.35
ACCOUNT NO.						
TRAVELERS INSURANCE CL & SPECIALTY REMITTANCE CENTER HARTFORD, CT 06183		GENERAL UNSECURED CREDITOR			X	308.04
ACCOUNT NO.						
UNITED CORPORATE SERVICE 10 BANK STREET WHITE PLAINS, NY 10606		GENERAL UNSECURED CREDITOR			X	215.00
ACCOUNT NO.						
WILLIAM O'NEIL & CO. 12655 BEATRICE STREET LOS ANGELES, CA 90066		GENERAL UNSECURED CREDITOR			X	1,562.50

Sheet no. 9 of 9 continuation sheets attached to Schedule of Creditors
 Holding Unsecured
 Nonpriority Claims

Subtotal	>	\$	30,139.89
Total	>	\$	1,213,630.34

(Use only on last page of the completed Schedule F.)
 (Report also on Summary of Schedules and, if applicable on the Statistical
 Summary of Certain Liabilities and Related Data.)

In re: WEST END FINANCIAL ADVISORS, LLC
Debtor

Case No. 11-11152
(If known)

SCHEDULE G - EXECUTORY CONTRACTS AND UNEXPIRED LEASES

☐ Check this box if debtor has no executory contracts or unexpired leases.

NAME AND MAILING ADDRESS, INCLUDING ZIP CODE, OF OTHER PARTIES TO LEASE OR CONTRACT.	DESCRIPTION OF CONTRACT OR LEASE AND NATURE OF DEBTOR'S INTEREST, STATE WHETHER LEASE IS FOR NONRESIDENTIAL REAL PROPERTY. STATE CONTRACT NUMBER OF ANY GOVERNMENT CONTRACT.
MANHATTAN BUSINESS CENTER 410 PARK AVENUE 15TH FLOOR NEW YORK, NY 10022	TURNKEY OFFICE LEASE FOR DEBTOR'S PREMISES
WEFA PENSION PLAN	

In re: WEST END FINANCIAL ADVISORS, LLC

Debtor

Case No. _____

(If known)

SCHEDULE H - CODEBTORS

☒ Check this box if debtor has no codebtors.

NAME AND ADDRESS OF CODEBTOR	NAME AND ADDRESS OF CREDITOR
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In re WEST END FINANCIAL ADVISORS, LLC
Debtor

Case No. _____
(If known)

DECLARATION CONCERNING DEBTOR'S SCHEDULES

DECLARATION UNDER PENALTY OF PERJURY BY INDIVIDUAL DEBTOR

DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP

I **RAYMOND J. HESLIN**, the MANAGER of the Corporation named as debtor in this case, declare under penalty of perjury that I have read the foregoing summary and schedules, consisting of 20 sheets (Total shown on summary page plus 1), and that they are true and correct to the best of my knowledge, information, and belief.

Date 5/16/11

Signature: _____

RAYMOND J. HESLIN MANAGER

[Print or type name of individual signing on behalf of debtor.]

[An individual signing on behalf of a partnership or corporation must indicate position or relationship to debtor.]

**United States Bankruptcy Court
Southern District of New York**

In re: **WEST END FINANCIAL ADVISORS, LLC**

Case No.

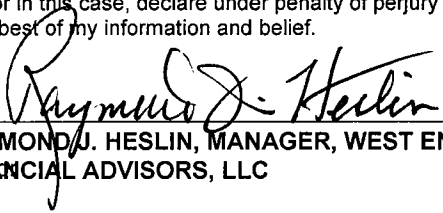
List of Equity Security Holders

REGISTERED NAME OF HOLDER OF SECURITY LAST KNOWN ADDRESS OR PLACE OF BUSINESS	CLASS OF SECURITY	NUMBER REGISTERED	KIND OF INTEREST REGISTERED
LOUISE CRANDALL 20 WEST 64TH STREET NEW YORK, NY 10023	INDIRECTLY	OWNED 99%	L CRANDALL

**DECLARATION UNDER PENALTY OF PERJURY
ON BEHALF OF A CORPORATION OR PARTNERSHIP**

I, **RAYMOND J. HESLIN, MANAGER** of the Corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing List of Equity Security Holders and that it is true and correct to the best of my information and belief.

Date: 5/16/11


RAYMOND J. HESLIN, MANAGER, WEST END
FINANCIAL ADVISORS, LLC

Penalty for making a false statement or concealing property. Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18
U.S.C §§ 152 and 3571.

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re: WEST END FINANCIAL ADVISORS, LLC

Debtor

Case No. _____

(If known)

STATEMENT OF FINANCIAL AFFAIRS

1. Income from employment or operation of business

None



State the gross amount of income the debtor has received from employment, trade, or profession, or from operation of the debtor's business, including part-time activities either as an employee or in independent trade or business, from the beginning of this calendar year to the date this case was commenced. State also the gross amounts received during the **two years** immediately preceding this calendar year. (A debtor that maintains, or has maintained, financial records on the basis of a fiscal year rather than a calendar year may report fiscal year income. Identify the beginning and ending dates of the debtor's fiscal year.) If a joint petition is filed, state income for each spouse separately. (Married debtors filing under chapter 12 or chapter 13 must state income of both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

AMOUNT

SOURCE

FISCAL YEAR PERIOD

2. Income other than from employment or operation of business

None



State the amount of income received by the debtor other than from employment, trade, profession, operation of the debtor's business during the **two years** immediately preceding the commencement of this case. Give particulars. If a joint petition is filed, state income for each spouse separately. (Married debtors filing under chapter 12 or chapter 13 must state income for each spouse whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

AMOUNT

SOURCE

FISCAL YEAR PERIOD

3. Payments to creditors

Complete a. or b., as appropriate, and c.

None



a. *Individual or joint debtor(s) with primarily consumer debts:* List all payments on loans, installment purchases of goods or services, and other debts to any creditor made within **90 days** immediately preceding the commencement of this case unless the aggregate value of all property that constitutes or is affected by such transfer is less than \$600. Indicate with an asterisk (*) any payments that were made to a creditor on account of a domestic support obligation or as part of an alternative repayment schedule under a plan by an approved nonprofit budgeting and credit counseling agency. (Married debtors filing under chapter 12 or chapter 13 must include payments by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF
CREDITOR

DATES OF
PAYMENTS

AMOUNT
PAID

AMOUNT
STILL OWING

- None ☒ b. *Debtor whose debts are not primarily consumer debts:* List each payment or other transfer to any creditor made within **90 days** immediately preceding the commencement of the case unless the aggregate value of all property that constitutes or is affected by such transfer is less than \$5,850*. If the debtor is an individual, indicate with an asterisk (*) any payments that were made to a creditor on account of a domestic support obligation or as part of an alternative repayment schedule under a plan by an approved nonprofit budgeting and credit counseling agency. (Married debtors filing under chapter 12 or chapter 13 must include payments and other transfers by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR	DATES OF PAYMENTS/ TRANSFERS	AMOUNT PAID OR VALUE OF TRANSFERS	AMOUNT STILL OWING
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**Amount subject to adjustment on 4/01/13, and every three years thereafter with respect to cases commenced on or after the date of adjustment.*

- None ☒ c. *All debtors:* List all payments made within **one year** immediately preceding the commencement of this case to or for the benefit of creditors who are or were insiders. (Married debtors filing under chapter 12 or chapter 13 must include payments by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR AND RELATIONSHIP TO DEBTOR	DATE OF PAYMENT	AMOUNT PAID	AMOUNT STILL OWING
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4. Suits and administrative proceedings, executions, garnishments and attachments

None
☐

a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

CAPTION OF SUIT AND CASE NUMBER	NATURE OF PROCEEDING	COURT OR AGENCY AND LOCATION	STATUS OR DISPOSITION
CLAYMAN & ROSENBERG LLP V. WEST END FINANCIAL ADVISORS AND JANIS BARSUK 66620/10		CIVIL COURT OF THE CITY OF NY NY COUNTY	
J MICHAEL ARAIZ V. AMAGANSETT REALTY GROUP LLC, AMAGANSETT REALTY GROUP HLDINGS LLC, LANDBERG AND WEST END FINANCIAL ADVISORS 601926/09		CIVIL COURT, NY STATE COUNTY OF NEW YORK	
DAYLIGHT FINANCIAL V. WEFA	ARBITRATION PROCEEDING		
MANHATTAN MEDIA LLC V. WEST END FINANCIAL ADVISORS LLC 34273/2009		CIVIL COURT OF THE CITY OF NY COUNTY OF NY	
IBERIA BANK V. KRAMER, LANDBERG, WEST END MERCURY SHORT TERM MORTGAGE FUND AND WEST END FINANCIAL ADVISORS, LLC 650800/2010		SUPREME COURT STATE OF NY COUNTY OF NY	
SEC V. LANDBERG, KRAMER, GOULD, BARSUK, WEST END FINANCIAL ADVISORS, LLC ET AL 11 CIV 00404		US DISTRICT COURT SDNY	
US COMMODITY FUTURES TRADING COMMISSION INVESTIGATION			
AB FRANKLIN D/B/A FRANKLIN COMPUTER SERVICES V. WEST END FINANCIAL ADVISORS CV 043362-09		CIVIL COURT CITY OF NEW YORK	
WEST END FINANCIAL ADVISORS LLC V. A.B. FRANKLIN D/B/A FRANKLIN COMPUTER SERVICES AND KENNETH EICHLER 09 CIV 9948		SDNY	
INTERACTIVE DATA V. WEST END FINANCIAL ADVISORS, LLC. 10071/10		SUPREME COURT OF NEW YORK COUNTY OF NEW YORK	PENDING

- None ☒ b. Describe all property that has been attached, garnished or seized under any legal or equitable process within **one year** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF PERSON FOR WHOSE BENEFIT PROPERTY WAS SEIZED	DATE OF SEIZURE	DESCRIPTION AND VALUE OF PROPERTY
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5. Repossessions, foreclosures and returns

- None ☒ List all property that has been repossessed by a creditor, sold at a foreclosure sale, transferred through a deed in lieu of foreclosure or returned to the seller, within **one year** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR OR SELLER	DATE OF REPOSSESSION, FORECLOSURE SALE, TRANSFER OR RETURN	DESCRIPTION AND VALUE OF PROPERTY
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6. Assignments and receiverships

- None ☒ a. Describe any assignment of property for the benefit of creditors made within **120 days** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include any assignment by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF ASSIGNEE	DATE OF ASSIGNMENT	TERMS OF ASSIGNMENT OR SETTLEMENT
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- None ☒ b. List all property which has been in the hands of a custodian, receiver, or court-appointed official within **one year** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CUSTODIAN	NAME AND ADDRESS OF COURT CASE TITLE & NUMBER	DATE OF ORDER	DESCRIPTION AND VALUE OF PROPERTY
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7. Gifts

- None ☒ List all gifts or charitable contributions made within **one year** immediately preceding the commencement of this case except ordinary and usual gifts to family members aggregating less than \$200 in value per individual family member and charitable contributions aggregating less than \$100 per recipient. (Married debtors filing under chapter 12 or chapter 13 must include gifts or contributions by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF PERSON OR ORGANIZATION	RELATIONSHIP TO DEBTOR, IF ANY	DATE OF GIFT	DESCRIPTION AND VALUE OF GIFT
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8. Losses

None ☒ List all losses from fire, theft, other casualty or gambling within **one year** immediately preceding the commencement of this case **or since the commencement of this case**. (Married debtors filing under chapter 12 or chapter 13 must include losses by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

DESCRIPTION AND VALUE OF PROPERTY	DESCRIPTION OF CIRCUMSTANCES AND, IF LOSS WAS COVERED IN WHOLE OR IN PART BY INSURANCE, GIVE PARTICULARS	DATE OF LOSS
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9. Payments related to debt counseling or bankruptcy

None ☐ List all payments made or property transferred by or on behalf of the debtor to any persons, including attorneys, for consultation concerning debt consolidation, relief under the bankruptcy law or preparation of a petition in bankruptcy within **one year** immediately preceding the commencement of this case.

NAME AND ADDRESS OF PAYEE	DATE OF PAYMENT, NAME OF PAYOR IF OTHER THAN DEBTOR	AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY
ROBINSON BROG LEINWAND GREENE ET AL 875 THIRD AVENUE 9TH FLOOR NEW YORK, NY 10022		\$1039 FILING FEE

10. Other transfers

None ☐ a. List all other property, other than property transferred in the ordinary course of the business or financial affairs of the debtor, transferred either absolutely or as security within **two years** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include transfers by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF TRANSFEREE, RELATIONSHIP TO DEBTOR	DATE	DESCRIBE PROPERTY TRANSFERRED AND VALUE RECEIVED
NORA LYNN BAKAMJIAN & RICHARD SILVERGLEID	02/02/2011	RESIDENCE LOCATED AT 47 MILINA DRIVE, EAST HAMPTON, NY SALE PRICE: \$2,555,094.67

None ☒ b. List all property transferred by the debtor within **ten years** immediately preceding the commencement of this case to a self-settled trust or similar device of which the debtor is a beneficiary.

NAME OF TRUST OR OTHER DEVICE	DATE(S) OF TRANSFER(S)	AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY OR DEBTOR' INTEREST IN PROPERTY
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11. Closed financial accounts

None ☒ List all financial accounts and instruments held in the name of the debtor or for the benefit of the debtor which were closed, sold, or otherwise transferred within **one year** immediately preceding the commencement of this case. Include checking, savings, or other financial accounts, certificates of deposit, or other instruments; shares and share accounts held in banks, credit unions, pension funds, cooperatives, associations, brokerage houses and other financial institutions. (Married debtors filing under chapter 12 or chapter 13 must include information concerning accounts or instruments held by or for either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF INSTITUTION	TYPE OF ACCOUNT, LAST FOUR DIGITS OF ACCOUNT NUMBER, AND AMOUNT OF FINAL BALANCE	AMOUNT AND DATE OF SALE OR CLOSING
------------------------------------	--	--

12. Safe deposit boxes

None ☒ List each safe deposit or other box or depository in which the debtor has or had securities, cash, or other valuables within **one year** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include boxes or depositories of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF BANK OR OTHER DEPOSITORY	NAMES AND ADDRESSES OF THOSE WITH ACCESS TO BOX OR DEPOSITORY	DESCRIPTION OF CONTENTS	DATE OF TRANSFER OR SURRENDER, IF ANY
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13. Setoffs

None ☒ List all setoffs made by any creditor, including a bank, against a debt or deposit of the debtor within **90 days** preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR	DATE OF SETOFF	AMOUNT OF SETOFF
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14. Property held for another person

None ☒ List all property owned by another person that the debtor holds or controls.

NAME AND ADDRESS OF OWNER	DESCRIPTION AND VALUE OF PROPERTY	LOCATION OF PROPERTY
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15. Prior address of debtor

None ☐ If debtor has moved within **three years** immediately preceding the commencement of this case, list all premises which the debtor occupied during that period and vacated prior to the commencement of this case. If a joint petition is filed, report also any separate address of either spouse.

ADDRESS	NAME USED	DATES OF OCCUPANCY
70 E. 55TH STREET, 17TH FLOOR NEW YORK, NY 10022	WEST END FINANCIAL ADVISORS LLC	07/06-06/10

16. Spouses and Former Spouses

None ☒ If the debtor resides or resided in a community property state, commonwealth, or territory (including Alaska, Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Puerto Rico, Texas, Washington, or Wisconsin) within **eight years** immediately preceding the commencement of the case, identify the name of the debtor's spouse and of any former spouse who resides or resided with the debtor in the community property state.

NAME

17. Environmental Information.

For the purpose of this question, the following definitions apply:

"Environmental Law" means any federal, state or local statute or regulation regulating pollution, contamination, releases of hazardous or toxic substances, wastes or material into the air, land, soil, surface water, groundwater, or other medium, including, but not limited to, statutes or regulations regulating the cleanup of these substances, wastes, or material.

"Site" means any location, facility, or property as defined under any Environmental Law, whether or not presently or formerly owned or operated by the debtor, including, but not limited to, disposal sites.

"Hazardous Material" means anything defined as a hazardous waste, hazardous substance, toxic substance, hazardous material, pollutant, or contaminant or similar term under an Environmental Law.

None



a. List the name and address of every site for which the debtor has received notice in writing by a governmental unit that it may be liable or potentially liable under or in violation of an Environmental Law. Indicate the governmental unit, the date of the notice, and, if known, the Environmental Law.

SITE NAME AND ADDRESS	NAME AND ADDRESS OF GOVERNMENTAL UNIT	DATE OF NOTICE	ENVIRONMENTAL LAW
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None



b. List the name and address of every site for which the debtor provided notice to a governmental unit of a release of Hazardous Material. Indicate the governmental unit to which the notice was sent and the date of the notice.

SITE NAME AND ADDRESS	NAME AND ADDRESS OF GOVERNMENTAL UNIT	DATE OF NOTICE	ENVIRONMENTAL LAW
--------------------------	--	-------------------	----------------------

None



c. List all judicial or administrative proceedings, including settlements or orders, under any Environmental Law with respect to which the debtor is or was a party. Indicate the name and address of the governmental unit that is or was a party to the proceeding, and the docket number.

NAME AND ADDRESS OF GOVERNMENTAL UNIT	DOCKET NUMBER	STATUS OR DISPOSITION
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18. Nature, location and name of business

None ☒ a. If the debtor is an individual, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was an officer, director, partner, or managing executive of a corporation, partner in a partnership, sole proprietor, or was self-employed in a trade, profession, or other activity either full- or part-time within the **six years** immediately preceding the commencement of this case, or in which the debtor owned 5 percent or more of the voting or equity securities within the **six years** immediately preceding the commencement of this case.

If the debtor is a partnership, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was a partner or owned 5 percent or more of the voting or equity securities, within the **six years** immediately preceding the commencement of this case.

If the debtor is a corporation, list the names, addresses, taxpayer identification numbers, nature of the business, and beginning and ending dates of all businesses in which the debtor was a partner or owned 5 percent or more of the voting or equity securities within the **six years** immediately preceding the commencement of this case.

NAME	LAST FOUR DIGITS OF SOCIAL SECURITY OR OTHER INDIVIDUAL TAXPAYER-I.D. NO. (ITIN)/ COMPLETE EIN	ADDRESS	NATURE OF BUSINESS	BEGINNING AND ENDING DATES
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None ☒ b. Identify any business listed in response to subdivision a., above, that is "single asset real estate" as defined in 11 U.S.C. § 101.

NAME	ADDRESS
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19. Books, records and financial statements

None ☐ a. List all bookkeepers and accountants who within **two years** immediately preceding the filing of this bankruptcy case kept or supervised the keeping of books of account and records of the debtor.

NAME AND ADDRESS	DATES SERVICES RENDERED
JANIS BARSUK WEST END FINANCIAL ADVISORS 410 PARK AVENUE NEW YORK, NY 10022	1/2006 TO PRESENT
STEVEN GOULD 92 NASSAU AVENUE PLAINVIEW, NY 11803	10/06-5/10

None ☒ b. List all firms or individuals who within **two years** immediately preceding the filing of this bankruptcy case have audited the books of account and records, or prepared a financial statement of the debtor.

NAME	ADDRESS	DATES SERVICES RENDERED
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None ☐ c. List all firms or individuals who at the time of the commencement of this case were in possession of the books of account and records of the debtor. If any of the books of account and records are not available, explain.

NAME	ADDRESS
JANIS BARSUK	WEST END FINANCIAL ADVISORS 410 PARK AVENUE NEW YORK, NY 10022

None ☒ d. List all financial institutions, creditors and other parties, including mercantile and trade agencies, to whom a financial statement was issued by the debtor within **two years** immediately preceding the commencement of this case.

NAME AND ADDRESS	DATE ISSUED
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20. Inventories

- None ☒ a. List the dates of the last two inventories taken of your property, the name of the person who supervised the taking of each inventory, and the dollar amount and basis of each inventory.

DATE OF INVENTORY	INVENTORY SUPERVISOR	DOLLAR AMOUNT OF INVENTORY (Specify cost, market or other basis)
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- None ☒ b. List the name and address of the person having possession of the records of each of the inventories reported in a., above.

DATE OF INVENTORY	NAME AND ADDRESSES OF CUSTODIAN OF INVENTORY RECORDS
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21. Current Partners, Officers, Directors and Shareholders

- None ☒ a. If the debtor is a partnership, list the nature and percentage of partnership interest of each member of the partnership.

NAME AND ADDRESS	NATURE OF INTEREST	PERCENTAGE OF INTEREST
------------------	--------------------	------------------------

- None ☐ b. If the debtor is a corporation, list all officers and directors of the corporation, and each stockholder who directly or indirectly owns, controls, or holds 5 percent or more of the voting or equity securities of the corporation.

NAME AND ADDRESS	TITLE	NATURE AND PERCENTAGE OF STOCK OWNERSHIP
RAYMOND HESLIN 410 PARK AVENUE NEW YORK, NY 10022	MANAGING MEMBER AND GP	

22. Former partners, officers, directors and shareholders

- None ☒ a. If the debtor is a partnership, list each member who withdrew from the partnership within **one year** immediately preceding the commencement of this case.

NAME	ADDRESS	DATE OF WITHDRAWAL
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- None ☒ b. If the debtor is a corporation, list all officers or directors whose relationship with the corporation terminated within **one year** immediately preceding the commencement of this case.

NAME AND ADDRESS	TITLE	DATE OF TERMINATION
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23. Withdrawals from a partnership or distributions by a corporation

- None ☒ If the debtor is a partnership or corporation, list all withdrawals or distributions credited or given to an insider, including compensation in any form, bonuses, loans, stock redemptions, options exercised and any other perquisite during **one year** immediately preceding the commencement of this case.

NAME & ADDRESS OF RECIPIENT, RELATIONSHIP TO DEBTOR	DATE AND PURPOSE OF WITHDRAWAL	AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY
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24. Tax Consolidation Group.

- None ☒ If the debtor is a corporation, list the name and federal taxpayer identification number of the parent corporation of any consolidated group for tax purposes of which the debtor has been a member at any time within **six years** immediately preceding the commencement of the case.

NAME OF PARENT CORPORATION	TAXPAYER IDENTIFICATION NUMBER (EIN)
----------------------------	--------------------------------------

25. Pension Funds.

None



If the debtor is not an individual, list the name and federal taxpayer identification number of any pension fund to which the debtor, as an employer, has been responsible for contributing at any time within **six years** immediately preceding the commencement of the case.

NAME OF PENSION FUND

TAXPAYER IDENTIFICATION NUMBER (EIN)

* * * * *

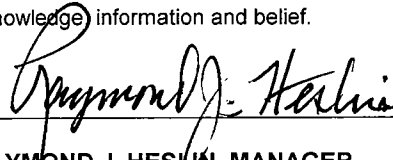
[If completed on behalf of a partnership or corporation]

I, declare under penalty of perjury that I have read the answers contained in the foregoing statement of financial affairs and any attachments thereto and that they are true and correct to the best of my knowledge information and belief.

Date

5/16/11

Signature



RAYMOND J. HESLIN, MANAGER

Print Name and Title

[An individual signing on behalf of a partnership or corporation must indicate position or relationship to debtor.]

_____ continuation sheets attached